

# AN ENERGY EFFICIENT HIERARCHICAL ROUTING SCHEME FOR WIRELESS SENSOR NETWORKS

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## ABSTRACT

*Wireless sensor networks represent a very interesting field in the Internet of objects (IoT) and in information and communication technologies in view of the diversity of their applications based on the manipulation of information through sensor nodes with limited characteristics (low storage capacity, autonomous source of energy, limited power, etc.). In this kind of networks generally called WSN, hierarchical routing has shown over the last few years his increasing interest among researchers in the field of computer research aiming to guarantee energy efficiency of the network. In this paper, we compare some existing energy efficient clustering routing protocol with a new clustering protocol which we have developed during our researches using different simulation parameters, and we show that our routing protocol gives better results and extends the network lifetime.*

## KEYWORDS

*IoT; WSN; hierarchical routing; energy efficiency; performance analysis*

## 1. INTRODUCTION

The latest developments in the fields of information technology and telecommunications have led to a massive deployment of ad hoc wireless networks that have become a key of the Internet Of Things (IOT). In WSN, nodes can be deployed to capture, store, process, and transfer the sensed data permanently or also between physical contexts and virtual universes to help in operational decision-making. The reduction of energy consumption and the self-organization of the nodes in the network are the two most studied themes in the scientific literature aiming to minimize energy consumed in the operations of capturing, processing and sending information via radio waves and to guarantee the overall functioning of the network. Clustering is among the most efficient routing techniques to overcome the constraints imposed WSN and to achieve energy balancing in the network. The rest of this paper is organized as follows: Section 2 gives brief state of the art of WSN. Section 3 describes routing in WSN and a classification of the different routing protocols classes, Section 4 presents our hierarchical routing protocol. And finally, Section 5 shows simulation parameters and performance metrics we have used and presents results and discussions.

## 2. STATE OF THE ART OF WIRELESS SENSOR NETWORKS (WSN)

### 2.1. Presentation of WSN

A wireless sensor network consists of a set of electronic devices (sensors) capable of measuring physical values, processing them and transmitting them to a control center via a base station. Each sensor contains essentially four main units:

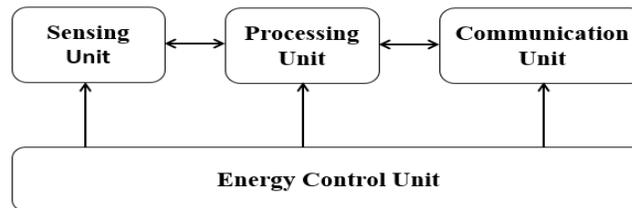


Figure 1. Sensor node components

- **Sensing unit:** usually consisting of a physical capture device that capture measurements and convert them into analog signals and of Analog-Digital Converters (ADCs) that convert these analog signals into digital signals.
- **Processing unit:** controls the procedures that enable the node to collaborate with other nodes to perform the acquisition tasks and store the collected data.
- **Communication module:** composed of a transceiver enabling communication between the different nodes of the network via a radio communication medium.
- **Battery:** the single source of energy that is generally neither rechargeable nor replaceable, it represents the main constraint while designing routing protocols for sensor networks.

### 2.2. Modelization of WSN

WSN can be modeled by a graph:

$$G_t = (V_t, E_t) \quad (Eq1)$$

Where  $V$  represents the set of sensor nodes and  $E$  models all connections between these nodes. According to the organization of sensors in the deployment field, WSN can be presented under two main topologies:

- **Flat topology:** all the nodes are homogeneous and identical in terms of capacity and characteristics except the sink, which is responsible for the transfer of data collected to the end user. This topology allows high fault tolerance but it suffers from low scalability.
- **Hierarchical topology:** In this topology, nodes are divided into several levels of organization and responsibility. Clustering represents one of the most used methods; it aims to divide the network into clusters composed of a Cluster Head (CH) and its cluster members that transfer their collected data for aggregation and transmission to the base station (BTS). This topology increases the scalability of the system, but it causes Cluster Heads overload and an unbalance in the energy consumption on the network.

### 2.3. Energy consumption model for communication in WSN

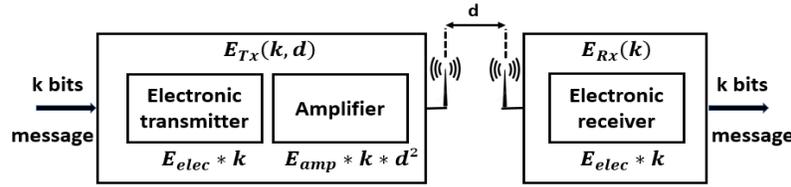


Figure 2. WSN Energy Consumption Module

In the literature, an energy consumption model was proposed in [Heinzelman & al, 2000] (Figure 2.) to describe the energy consumed by the sensors in each operation: the emission energy consumed to capture data and the communication energy that groups the transmission energy and the reception energy:

- Transmitting energy: to transmit a message of  $k$  bits to a receiver far from  $d$  meters, the transmitter consumes:

$$E_{Tx}(k, d) = E_{Tx\ elec}(k) + E_{Tx\ amp}(k, d) \quad (Eq2)$$

$$E_{Tx}(k, d) = (E_{elec} * k) + (E_{amp} * k * d^2) \quad (Eq3)$$

- Reception energy: to receive a message of  $k$  bits the receiver consumes:

$$E_{Rx}(k) = E_{Rx\ elec}(k) \quad (Eq4)$$

$$E_{Rx}(k) = E_{elec} * k \quad (Eq5)$$

Where  $E_{elec}$  represents the electronic transmission energy and  $E_{amp}$  represents the amplification energy.

Both transmission and reception energies are determined by the amount of data to be communicated, by the transmission distance and by the physical properties of the radio module.

## 3. ROUTING IN WSN

Data routing designs the way how information is routed to its destination through a network connection, it consists on optimal packets delivering through the network using the least possible resources and ensuring network fault tolerance. In this part, we present a classification of WSN routing protocols with a focus on those based on the network hierarchization and on which a performance study will be applied in the next part of this article.

### 3.1. Classification of WSN routing protocols

Data Routing have attracted a lot of interest among the researchers, many routing protocols have been presented depending on type of application and on data routing strategies.

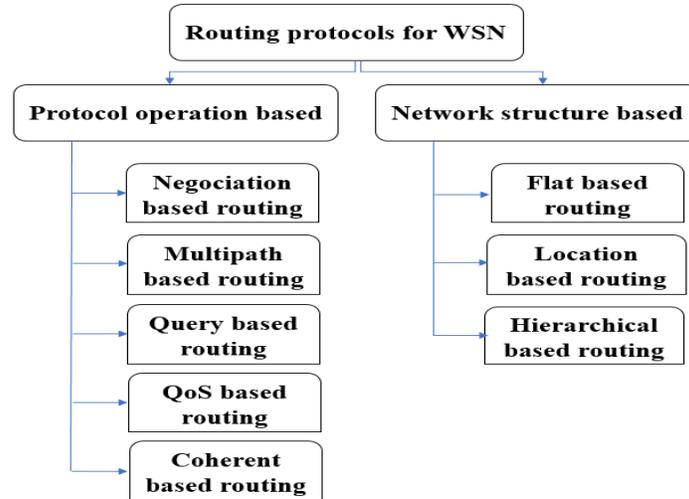


Figure 3. WSN Routing protocol classification

As shown in Figure 3. WSN routing protocols in WSN can be divided to:

- Negotiation-based routing: routes selection is based on the available resources to eliminate the redundancy of data in the network.
- Multipath-based routing: multi paths are used rather than single paths, which increases the fault tolerance but also energy consumption and traffic generation.
- Query-based routing: the destination node propagates a request for particular information in the network and the nodes possessing it respond by sending it to the requesting node.
- QoS-based routing: QoS-aware protocols consider end-to-end delay requirements while setting up the routes in the sensor network.
- Coherent-based routing: forwards data after processing and redundancies elimination to the aggregator nodes in order to improve energy efficiency
- Flat-based routing: In this routing scheme nodes are identical (in terms of battery and hardware complexity), the disadvantage is that scalability becomes critical for a very large number of sensor nodes, hence the need to manage and organize the nodes using access control medium (MAC).
- Location-based routing: uses location information to guide route discovery and data transmission. It allows an optimized routing at reduced cost but the disadvantage is that each node must know the location of the other nodes of the network.

### 3.2. Hierarchical routing in WSN

Hierarchical-based routing represents one of the most efficient strategy to improve energy efficiency and to achieve self-organization of the network. Several routing strategies have been proposed in the literature, in this paper we focus on the following energy efficient hierarchical routing protocols:

#### 3.2.1. LEACH (Low-Energy Adaptive Clustering Hierarchy)

This protocol was proposed by ChandraKasan & al. to provide an efficient solution to the problem of energy consumption in the WSN, it is based on the formation of clusters in which the elected CHs collect and aggregate the data captured by their cluster member nodes to

subsequently transmit them to the base station, a CH performs its role as cluster leader for a period of time called "round", at the beginning of each round each node of the network determines whether it wants to be a CH by calculating a number between 0 and 1 if this number is less than a threshold  $T(n)$  the node becomes CH, the threshold  $T(n)$  is expressed by the relation:

$$T(n) = \begin{cases} \frac{p}{1 - p \left[ r \bmod \left( \frac{1}{p} \right) \right]} & \text{if } n \in G \\ 0 & \text{if not} \end{cases}$$

With  $p$ : the percentage of CHs in the network;  $r$ : the number of the current round;  $G$ : the number of nodes that have not been selected as CHs in the previous  $1/p$  rounds. Once the clusters are formed, each CH sends its identifications to the nodes of the network through the CSMA protocol and assigns to each member node of its cluster an interval of time during which it can send its data based on the TDMA approach.

### 3.2.1. PEGASIS (Power-Efficient Gathering in Sensor Information Systems)

This routing protocol is considered as an optimization of LEACH, it gathers the network nodes in a long chain based on the principle that a node can communicate only with the closest node to it. Thus, each node adjusts its radio for a very short communication to conserve its energy. To communicate with the Sink, the process is organized into rounds; during each round a single node is allowed to communicate directly with the sink. This privilege is granted to all the nodes of the network in turn. A better conservation of energy is obtained by the data aggregating on each node of the network: in each round, only one node can communicate directly with the sink, it's called "leader node", this privilege is given in turn to all the nodes of the network. Nodes transmit their data through their neighboring nodes toward the leader node that sends it afterward to the base station.

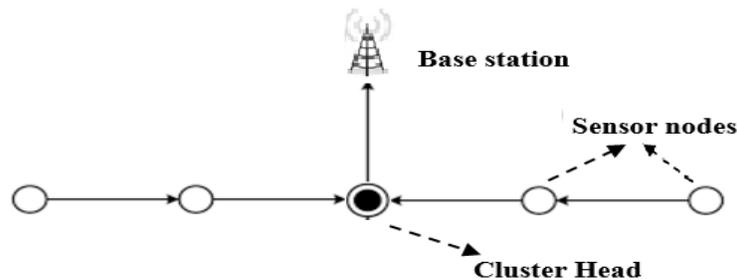


Figure 4. Chain construction in PEGASIS

### 3.2.3. HEED (Hybrid Energy-Efficient Distributed clustering)

This protocol aims to divide the network into one hop clusters jump where CHs are elected according to two metrics: energy and cost of paths, each node calculates its probability to become CH by the following formula:

$$CH_{prob} = C_{prob} * (E_{Res} / E_{Max}) \quad (Eq6)$$

Where  $E_{Res}$  represents the remaining energy of the node and  $E_{Max}$  its initial energy.  $CH_{prob}$  is always greater than a threshold  $p_{min}$  Inversely proportional to  $E_{Max}$ .

A node of the network can be presented under two states: "tentative status" if  $CH_{prob} < 1$  Or "final status" if  $CH_{prob} = 1$ .

## 4. PROPOSED PROTOCOL FOR HIERARCHICAL ROUTING IN WSN

### 4.1. Description

Our hierarchical routing scheme intends to form interconnected clusters through discovery messages exchange between the nodes and to use a TDMA technique to set a sleeping mechanism for sensors in order to extend the network lifetime, it integrates also a method for data aggregation based on multi-hops intra-cluster and inter-cluster communications aiming to satisfy the compromise "Energy consumption - Quality of Service (QoS)".

### 4.2. Phases of our routing scheme

#### 4.2.1. Initialization phase

Initially the nodes are in listening mode, the clusters will be defined by a diffusion technique controlled by the BTS which broadcasts a discovery message on the covered zone containing a node identifier fixed at '0' and incremented each time by the receiver node before sending it to its neighbors, and also a cluster identifier incremented only by the CH nodes, these identifiers are manipulated so as to construct clusters with 2 hops gradually with the CH node as a center.

#### 4.2.2. Clustering phase

we denote by  $n$  a single node in the network and by  $N$  the total number of the nodes in the network, the pseudo code of clusters construction phase:

```

For ( $n \in N, n = 1, \dots, N$ ) {
  1. Listen the DiscoveryMessage from the BST with an DiscoveryMessageID fixed at 0
  2. IF (DiscoveryMessageID=0) become a gateway node
  3. Increment the ID before sending it to a neighbor node
  4. IF (DiscoveryMessageID=3) become a CH and from here to the DiscoveryMessageID
  should be decremented in each reception until it becomes = 0
  The CH node sends also in the a ClusterID=1 to be incremented only by the next CH
  5. While (the DiscoveryMessageID=0) become a gateway node
  Repeat the process (line 3 to 5) Until  $n=N$ 
  if (single node) join the cluster with the last known CuusterID }

```

In the end of this phase, three lists are formed:

- List\_members: present in each CH, contains the set of member nodes of a cluster.
- List\_agregators: present in each CH, gives an overall view of neighboring clusters.
- List\_CH: present in the BST, list of CHs in the network.

Once the clusters are formed, CHs receive data from simple nodes and creates TDMA tables based on the number of nodes that form the clusters. When all the data are received, they are compressed by the CHs and transmitted to the base station.

#### 4.2.3. TDMA Schema and Route Management

Once the clusters are formed, the Base station uses a *Msg\_BTS* to assign a MAC transmission code to each cluster in order to limit inter-cluster collisions, in their turn CHs assign a MAC transmission code to each member node to limit intra-cluster collisions. In addition, in each cluster a list of all the gateway nodes to the neighboring clusters is built and will be used in inter-cluster routing.

A route is defined by the triplet (SourceID, DestinationID, cost). Intra-cluster communications are operated simultaneously in all clusters of the network. For inter-cluster communication process, each CH broadcasts a message which contains its ID, residual energy, the number of his cluster members, and distance to the base station.

#### 4.2.4. Reelection of CH and the Metric used

In each new round the election of the new CH doesn't pass through the BST but rather through the old CH according to three elements:

- Energy level of the new CH.
- Density of the nodes at two hops.
- Distance from the old CH.

Each node calculates its probability to be a CH using the following metric:

$$P(\text{CH}) = [\alpha * (\text{Res-energy}) + \beta * (2\text{-Density}) + \gamma * (\text{Distance from last CH})] \quad (\text{Eq7})$$

Where the 2-Density refers to the number of neighbouring nodes at two two-hop and the distance between two nodes (*i*) and (*j*) is denoted by:

$$\text{Distance}_{(i,j)}(t) = \sqrt{(X_i(t) - X_j(t))^2 + (Y_i(t) - Y_j(t))^2} \quad (\text{Eq8})$$

The values of the parameters ( $\alpha, \beta, \gamma$ ) depend on the application with :  $\alpha + \beta + \gamma = 1$

This approach avoids voting consumption, reduces the energy of clustering and assures CH rotation which helps to prolong network lifetime.

#### 4.2.5. Data Aggregation Model

Our aggregation method is based on the Data centric approach used to solve the data implosion problem that characterizes the sensor networks enabling processing of redundant data at the time of transmission on the network.

In each cluster, sensor nodes of a level (N) aggregate the data coming from the lower level nodes (N-1). In addition, a data aggregation process is performed between CHs during the inter-clusters communications.

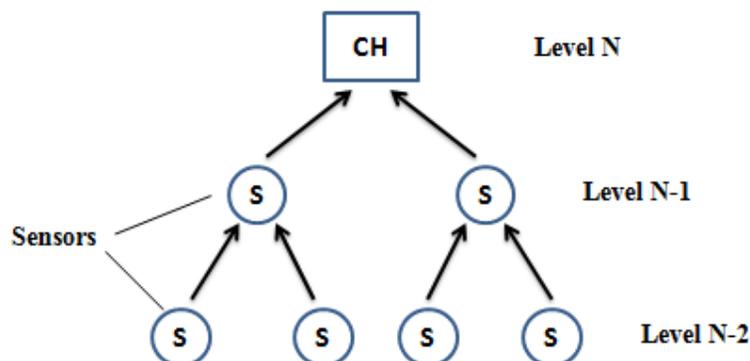


Figure 5. Data aggregation process in each cluster

The data aggregation on several levels represents an effective way to reduce the amount of information communicated and thereby to decrease energy consumption and to improve network lifetime.

#### 4.2.6. Exchanged packets

Our protocol uses three types of packets: HelloPacket to discover neighboring nodes and establish paths, ClusterConstructPacket used in the construction phase of the clusters and in the election of CHs, DataPacket that contains the data to be transmitted in the network. Depending on the packet type, different informations are used in our protocol:

- Identifier of the source node, its CH and its coordinates  $(x, y)$
- Identifier of the parent of the source node
- Calculated metric of the source node
- Number of hops between the source node and its CH
- List of the source node neighbors and their coordinates
- List of the neighboring clusters addresses
- Identifier of the destination node and its ClusterID (DestinationClusterID)
- NextHopID : NextHop node identifier
- Data: Transmitted data
- TTL Lifetime of the packet

## 5. RESULTS AND DISCUSSIONS

In this part, we compare our routing protocol with some efficient hierarchical routing protocols from the literature: LEACH, PEGASIS and HEED, and we present the results of our simulation based on evaluating metrics of delay, throughput, packet delivery ratio and energy level.

### 5.1. Simulation parameters

In our simulation, we distribute the sensors in the capture field with a sufficient deployment density to ensure connectivity on the network, the nodes are homogeneous and possess the same transmission radius and can send their data periodically. Our simulations have been run in MATLAB (Matrix laboratory), Table 1 lists the simulation parameters.

Table 1: Simulation Parameters

Parameters	Values
Simulation area	100 m X 100 m
Initial energy E0	0,5 Joules
Energy consumed in the electronics circuit, Eelec	50 nJ/bit
Energy consumed by the amplifier Efs	10 pJ/bit/m <sup>2</sup>
Base station location	(X=0 m ; Y= 0 m)
Number of nodes	100
Sensing range	5 m
Transmission range	50 m
Message size	2000 bits
Bandwidth	5000 bits/sec
Control packet	250 bits
Data transmission speed	100 bits/sec

## 5.2. Results Analyzis

In this part, we present our simulation results and we compare the performance of our protocol with the studied clustering protocols.

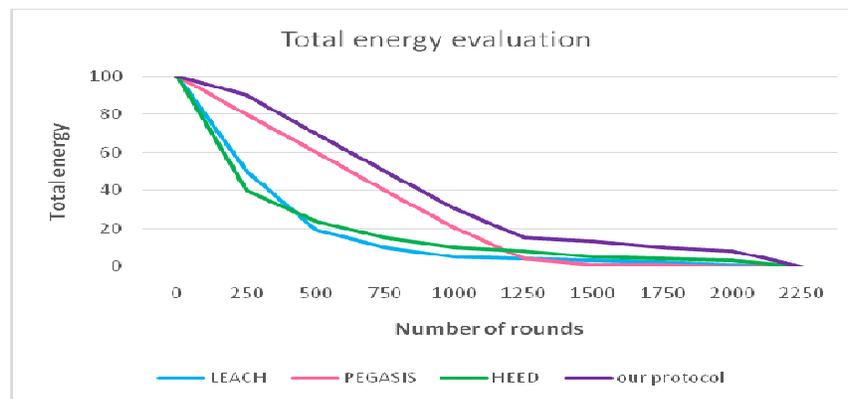


Figure 6. Total energy evaluation in our protocol Vs LEACH, PEGASIS and HEED

The total energy in each round is presented by the sum of residual energy of all the network nodes, the rotation of the CH role on all the nodes of the cluster allows to balance the energy consumption of the cluster, but in the meanwhile it generates an overconsumption of energy since each rotation of CH requires a diffusion phase to inform the nodes about the new CH.

PEGASIS uses only one transmission to the BS per round and reduces the control overhead which improves its performance. In LEACH, the ability to communicate with the sink through any node on the network requires considerable energy consumption from distant nodes, so the aggregation of the data is centred at the level of the CHs, which makes them the weak links of the network. PEGASIS considers that all the nodes of the network can reach the sink, which requires an adjustable transmission with an important energy overhead.

Figure 6. shows that the energy decrease in our protocol is much slower than the other protocols (LEACH, PEGASIS and HEED) because it selects CH nodes according to the residual energy and

to the minimal energy from the previous CH rather than random probability and that extends network lifetime .

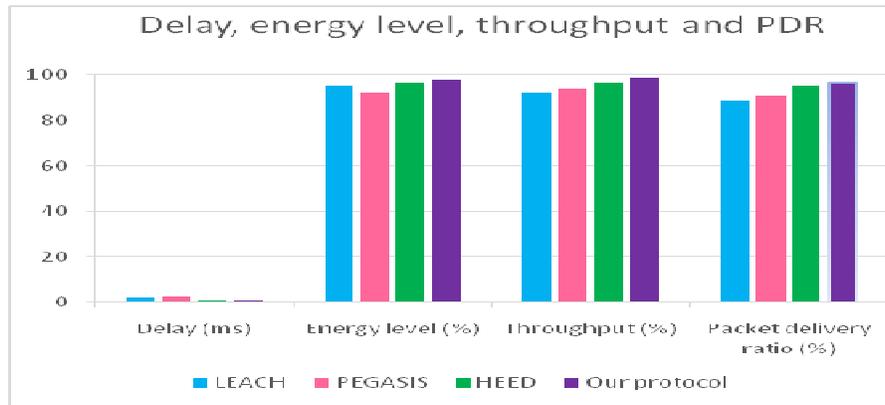


Figure 7. Performance analysis of our protocol Vs LEACH, PEGASIS and HEED

The packet delivery ratio is the number of packets delivered to the destination to the number of packets supposed to be received, the greater value of packet delivery ratio means the better performance of the protocol this ratio is suitable in all the tested protocols.

The throughput is the ratio of the number of packets sent to the total number of packets, the greater value of throughput means the better performance of the protocol.

In LEACH, energy consumption is shared across all nodes and the use of TDMA / CDMA techniques allows for a hierarchy and multi-level clustering, which saves more energy.

PEGASIS protocol try to eliminate of the overhead caused by dynamic cluster formation in LEACH but the length of the chain formed in PEGASIS protocol can considerably increase the data delivery time and the only node allowed to communicate with the BS can become a bottleneck within the network.

As it can be seen from (Figure 7.) our protocol has a minimal delay and an efficient throughput when compared with the other protocols. Since our protocol is executed in cooperation between all the nodes of the network, each node decides independently of its role which guarantees better distributed energy consumption. Moreover, the use of multi-hops routing guarantees a compromise between the size of the clusters and the number of clusters in the network which ensures energy balancing.

## 6. CONCLUSIONS

In this work, we investigated the study of hierarchical routing strategies in WSN, which allowed us to highlight their characteristics and limits. We compared some of the existing hierarchical energy efficient routing protocols with our routing protocol and we discussed the simulations results in term of delay, throughput, packet delivery ratio and energy consumption then we deduct that our routing scheme is more optimal and energy efficient. As a future work, we are working on the development of our protocol to improve its efficiency with other parameters then we will publish this work in a more detailed version of this paper.

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